

FORM NO. MGT-9
EXTRACT OF ANNUAL RETURN
As on the Financial Year ended on 31/03/2020

I. REGISTRATION AND OTHER DETAILS:

(i)	CIN	L32109DL2003PLC119416
(ii)	Registration Date	17 th March 2003
(iii)	Name of the Company	PG Electroplast Limited
(iv)	Category/Sub-Category of the Company	Company limited by Shares/Indian Non-Government Company
(v)	Address of the Registered Office & Contact details	DTJ209, 2 nd Floor, DLF Tower B, Jasola, New Delhi-110025; Email: investors@pgel.in
(vi)	Whether a listed Company	Yes (Listed at BSE & NSE)
(vii)	Name, Address and contact details of Registrar and Transfer Agent, if any:	KFin Technologies Private Limited, Selenium Building, Tower-B, Plot No 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, TelanganaIndia - 500 032 Email: einward.ris@kfintech.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All business activities contributing 10% or more of the total turnover of the Company shall be treated: -

Sl. No.	Name and description of main products/service	NIC code-2008 of product/service	% of total turnover of the Company
1	Plastic Parts of Refrigerator	27501	27.17%
2	Plastic Parts of Air Conditioners	28192	21.94%
3	Plastic Parts of Washing Machines	27501	11.54%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES: No such Company exists as on 31/03/2020.**IV. SHAREHOLDING PATTERN:****(i) Category-wise Share Holding:**

Category of Shareholders	No. of Shares held at the beginning of the year (as on 01/04/2019)				No. of Shares held at the end of the year (as on 31/03/2020)				% change during the year
	De-mat	Physical	Total	% of total Shares	De-mat	Physical	Total	% of total Shares	
A. Promoter									
(1) Indian									
a) Individual/ HUF	12409332	0	12409332	66.52	13318916	0	13318916	68.20	1.68
b) Central Government	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	-	-	-	-	-	-	-	-	-
e) Banks/FI	-	-	-	-	-	-	-	-	-
f) Any Other..	-	-	-	-	-	-	-	-	-
Sub Total (A)(1)	12409332	0	12409332	66.52	13318916	0	13318916	68.20	1.68
(2) Foreign									
a) NRIs-Individuals	-	-	-	-	-	-	-	-	-
b) Other- Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/FI	-	-	-	-	-	-	-	-	-

Category of Shareholders	No. of Shares held at the beginning of the year (as on 01/04/2019)				No. of Shares held at the end of the year (as on 31/03/2020)				% change during the year
	De-mat	Physical	Total	% of total Shares	De-mat	Physical	Total	% of total Shares	
e) Any Other.	-	-	-	-	-	-	-	-	-
Sub Total (A)(2)	0	0	0	0	0	0	0	0	N.A.
Total Shareholding of Promoter (A)=(A)(1)+(A)(2)	12409332	0	12409332	66.52	13318916	0	13318916	68.20	1.68
B. Public Shareholding									
1. Institutions									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks/FI	85009	0	85009	0.46	172	0	172	0.00	-0.46
c) Central Govt(s)	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Other (Specify)	-	-	-	-	-	-	-	-	-
Foreign Portfolio Investors	1260000	0	1260000	6.75	90000	0	90000	0.46	-6.29
Sub Total (B)(1)	1345009	0	1345009	7.21	90172	0	90172	0.46	-6.75
2. Non Institutions									
a) Bodies Corporate									
i) Indian	1828742	0	1828742	9.80	1452965	0	1452965	7.44	-2.36
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
i) Individual Shareholders holding nominal share capital upto Rs. 1 lakh	1980608	51	1980659	10.62	3096622	1	3096623	15.86	5.24
ii) Individual Shareholders holding nominal share capital in excess of Rs. 1 lakh	502848	0	502848	2.70	1190402	0	1190402	6.10	3.40
c) Others (Specify)									
Clearing Member	110230	0	110230	0.59	31586	0	31586	0.16	-0.43
NBFC	664	0	664	0.00	0	0	0	0.00	0.00
Non Resident Indians	476848	0	476848	2.56	348252	0	348252	1.78	-0.78
Sub-total(B)(2)	4899940	51	4899991	26.27	6119827	1	6119828	31.34	5.07
Total Public Shareholding (B)= (B)(1) + (B)(2)	6244949	51	6245000	33.48	6209999	1	6210000	31.80	-1.68
C. Shares held by Custodian for GDRs & ADRs									
	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	18654281	51	18654332	100	19528915	1	19528916	100	4.69

(ii) SHAREHOLDING OF PROMOTERS

S.N.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of year			% Change in shareholding during the year
		No of Shares	% of total Shareholding	% of shares pledged, encumbered to total shares	No of Shares	% of total Shareholding	% of shares pledged, encumbered to total shares	
1.	Mr. Promod Gupta	45,16,167	24.21	N.A.	-	-	N.A.	-24.21
2.	Mr. Anurag Gupta	22,07,555	11.83	N.A.	24,26,201	12.42	N.A.	0.59
3.	Mr. Vikas Gupta	25,94,055	13.91	N.A.	28,12,701	14.40	N.A.	0.49
4.	Mr. Vishal Gupta	25,98,345	13.93	N.A.	28,16,991	14.42	N.A.	0.49
5.	Mrs. Neelu Gupta	4,15,000	2.22	N.A.	4,25,000	2.18	N.A.	-0.04
6.	Mrs. Sudesh Gupta	25,500	0.14	N.A.	47,60,313	24.38	N.A.	24.24
7.	Mrs. Nitasha Gupta	28,500	0.15	N.A.	38,500	0.20	N.A.	0.05
8.	Mrs. Sarika Gupta	24,210	0.13	N.A.	39,210	0.20	N.A.	0.07
Total		1,24,09,332	66.52		1,33,18,916	68.20		1.68

Mr. Promod Gupta demised on 29/11/2019. His shareholding was transmitted to his Nominee & Spouse- Mrs. Sudesh Gupta.

(iii) Change in Promoters' Shareholding:

Sl. No.	Name	Shareholding		Date	Change in Shareholding	Reason	Cumulative Shareholding during the year	
		No. of Shares as on 1/04/2019 & 31/03/2020	% of Total Share of the Company				No. of Shares	% of Total Share of the Company
1.	Mr. Promod Gupta	45,16,167	24.21					
				28/12/2019	-40,16,166	*Transmission	-5,00,001	2.56
				25/02/2020	-5,00,001		0	0
		0	0				0	0
2.	Mr. Anurag Gupta	22,07,555	11.83					
				14/02/2020	2,18,646	*Allotment	24,26,201	12.42
		24,26,201	12.42				24,26,201	12.42
4.	Mr. Vishal Gupta	25,98,345	13.93					
				14/02/2020	2,18,646	*Allotment	28,16,991	14.42
		28,16,991	14.42				28,16,991	14.42
3.	Mr. Vikas Gupta	25,94,055	13.91					
				14/02/2020	2,18,646	*Allotment	28,12,701	14.40
		28,12,701	14.40				28,12,701	14.40
5.	Mrs. Sudesh Gupta	25,500	0.14					
				28/12/2019	40,16,166	*Transmission	40,41,666	21.66
				14/02/2020	2,18,646	*Allotment	42,60,312	21.81
				25/02/2020	5,00,001	**Transmission	47,60,313	24.38
		47,60,313	24.38				47,60,313	24.38
6.	Mrs. Neelu Gupta	4,15,000	2.13					
				13/03/2020	10,000	Purchase from open market	4,25,000	2.18
		4,25,000	2.18				4,25,000	2.18
7.	Mrs. Sarika Gupta	24,210	0.13					
				11/03/2020	15,000	Purchase from open market	39,210	0.20
		39,210	0.20				39,210	0.20
8.	Mrs. Nitasha Gupta	28,500	0.15					
				13/03/2020	10,000	Purchase from open market	38,500	0.20
		38,500	0.20				38,500	0.20

*Allotment of equity shares on preferential basis to promoter & promoter group pursuant to conversion of outstanding unsecured loan.

#Pursuant to Demise of Mr. Promod Gupta his shareholding (except Locked-in shares) were transmitted to his Nominee & Spouse i.e. Mrs. Sudesh Gupta.

**The Locked-in shares held in name of Mr. Promod Gupta were transmitted through corporate action to his Nominee & Spouse i.e. Mrs. Sudesh Gupta.

(iv) Shareholding Pattern of top ten Shareholders as on 31/03/2020:

Sl. No.	For each of top 10 shareholders (other than Directors, promoters and holders of GDRs and ADRs)	Shareholding		Date	Change in Shareholding	Reason	Cumulative Shareholding during the year	
		No. of Shares as on 1/04/2019 & 31/03/2020	% of Total Share of the Company				No. of Shares	% of Total Share of the Company
1	PREETI AGGARWAL *Shares held on BENPOS date 27/12/2019. **From BENPOS date 31/12/2019 this person became one of the top 10 shareholders based on no. of shares held.			27/12/2019	*3,925		3,925	0.02
				31/12/2019	2,50,000	Market purchase	2,18,925	1.17
				10/01/2020	81,075	Market purchase	3,00,000	1.61
		3,00,000	1.61				3,00,000	1.61
2	SUNITA SARWANKUMAR SARAF	2,63,500	1.61					
				07/02/2020	-8,935	Market sale	2,54,565	1.36
				14/02/2020	6,033	Market Purchase	2,60,598	1.40
				21/02/2020	2,542	Market Purchase	2,63,140	1.41
				28/02/2020	61	Market Purchase	2,63,201	1.41
		2,63,500	1.41		299	Market Purchase	2,63,500	1.41
3	ELDORADO BIOTECH PVT. LTD *From BENPOS date 28/02/2020 this person became one of the top 10 shareholders based on no. of shares held.			28/02/2020	*2,29,218	Market Purchase	2,29,218	1.23
		2,29,218	1.23				2,29,218	1.23
4	CHARU RATHI *From BENPOS date 27/09/2019 this person became one of the top 10 shareholders based on no. of shares held. **From BENPOS date 25/10/2019 this person again became one of the top 10 shareholders based on no. of shares held.			27/09/2019	*1,40,598	-	1,40,598	0.75
				25/10/2019	**80,298	-	80,298	0.43
				01/11/2019	68,526	Market Purchase	1,48,824	0.80
				08/11/2019	5,000	Market Purchase	1,53,824	0.82
				20/12/2019	7,000	Market Purchase	1,60,824	0.86
				27/12/2019	2,000	Market Purchase	1,62,824	0.87
				31/12/2019	43,770	Market Purchase	2,06,594	1.11
				17/01/2020	3,006	Market Purchase	2,09,600	1.12
				24/01/2020	2,994	Market Purchase	2,12,594	1.14
				21/02/2020	-200	Market sale	2,12,394	1.14
				28/02/2020	-3,100	Market sale	2,09,294	1.12
				06/03/2020	4,316	Market Purchase	2,13,610	1.15
				13/03/2020	3,234	Market Purchase	2,16,844	1.16
				27/03/2020	-4,690	Market sale	2,12,154	1.14
	2,12,154	1.14				2,12,154	1.14	
5	SARVANKUMAR DEVIDUTT SARAF *Shares held on BENPOS date 14/02/2020. **From BENPOS date 27/09/2019 this person became one of the top 10 shareholders based on no. of shares held.			14/02/2020	*31,400		31,400	0.17
				21/02/2020	**1,50,000	Market Purchase	1,81,400	0.97
		1,81,400	0.97				1,81,400	0.97

Sl. No.	For each of top 10 shareholders (other than Directors, promoters and holders of GDRs and ADRs)	Shareholding		Date	Change in Shareholding	Reason	Cumulative Shareholding during the year	
		No. of Shares as on 1/04/2019 & 31/03/2020	% of Total Share of the Company				No. of Shares	% of Total Share of the Company
6	MOTILAL OSWAL FINANCIAL SERVICES LIMITED- MARGIN TRADING *Shares held on BENPOS date 14/02/2020, 28/02/2020 & 06/03/2020. **From BENPOS date 14/02/2020 & 13/03/2020 this person became one of the top 10 shareholders based on no. of shares held.			07/02/2020	*24,945		24,945	0.13
				14/02/2020	**1,15,650		1,40,595	0.75
				28/02/2020	*7,796		7,796	0.04
				06/03/2020	*62,414		70,210	0.38
				13/03/2020	**38,809		1,09,017	0.58
				20/03/2020	-400		1,08,617	0.58
				27/03/2020	42,897		1,51,514	0.81
				31/03/2020	13,964		1,65,478	0.89
		1,65,478	0.89			1,65,478	0.89	
7	SATPAL KHATTAR	1,65,000	1.01				1,65,000	1.01
				NA	No Change	-	-	-
		1,65,000	0.88				1,65,000	0.88
8	NEELAM SAMIRKUMAR SHAH	1,29,348	0.79				1,29,348	0.79
				28/06/2019	-4,000		1,25,348	0.67
				05/07/2019	-2,000		1,23,348	0.66
		1,23,348	0.66				1,23,348	0.66
9	MANAN AJAYBHAI SHAH *Shares held on BENPOS date 02/08/2019. **From BENPOS date 11/10/2019 & 14/02/2020 this person became one of the top 10 shareholders based on no. of shares held.			02/08/2019	*1,10,000		1,10,000	0.59
				11/10/2019	**1,10,000		1,10,000	0.59
				14/02/2020	**1,10,000		1,10,000	0.59
		1,10,000	0.59				1,10,000	0.59
10	HIRAK LEASING & INVESTMENTS PVT. LTD. *Shares held on BENPOS date 13/03/2020. **From BENPOS date 27/03/2020 this person became one of the top 10 shareholders based on no. of shares held.			13/03/2020	*1,04,400		1,04,400	0.56
				27/03/2020	**1,04,400		1,04,400	0.56
		1,04,400	0.56				1,04,400	0.56

Note: The changes in the shareholding in the above shareholders were due to buying/ selling of shares through Stock Exchange by the shareholders. Hence, date wise increase/decrease prior to the date when they were not belonging to top 10 shareholders is not indicated here.

8,74,584 equity shares were allotted on 14/02/2020 to the promoter & promoter group pursuant to conversion of outstanding unsecured loan given by them to the company. These shares were listed on NSE and BSE on 16/03/2020 and 27/03/2020, respectively. Trading approval of NSE and BSE received w.e.f. 15/04/2020.

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	Name of Directors and KMP	Shareholding		Date	Change in Shareholding	Reason	Cumulative Shareholding during the year	
		No. of Shares as on 1/04/2019 & 31/03/2020	% of Total Share of the Company				No. of Shares	% of Total Share of the Company
1.	Mr. Promod Gupta	45,16,167	24.21					
				28/12/2019	-40,16,166	#Transmission	5,00,001	2.56
				25/02/2020	-5,00,001		0	0
		0	0				0	0
2.	Mr. Anurag Gupta	22,07,555	11.83					
				14/02/2020	2,18,646	*Allotment	24,26,201	12.42
		24,26,201	12.42				24,26,201	12.42
3.	Mr. Vishal Gupta	25,98,345	13.93					
				14/02/2020	2,18,646	*Allotment	28,16,991	14.42
		28,16,991	14.42				28,16,991	14.42
4.	Mr. Vikas Gupta	25,94,055	13.91					
				14/02/2020	2,18,646	*Allotment	28,12,701	14.40
		28,12,701	14.40				28,12,701	14.40
5.	Mr. Sharad Jain	0	0.00	NA	No Change	-	0	0.00
6.	Mr. Devendra Jha	0	0.00	NA	No Change	-	0	0.00
7.	Mr. Pramod Chimmanlal Gupta	0	0.00	NA	No Change	-	0	0.00
8.	Mrs. Rita Mohanty	0	0.00	NA	No Change	-	0	0.00
Key Managerial Personnel other than Directors								
1.	**Mr. Mahabir Prasad Gupta	0	0.00	NA	No Change	-	0	0.00
2.	**Mr. Praveen Datt Agarwal	0	0.00	NA	No Change	-	0	0.00
3.	Mr. Sanchay Dubey	0	0.00	NA	No Change	-	0	0.00

#Pursuant to Demise of Mr. Promod Gupta his shareholding (except Locked-in shares) were transmitted to his Nominee & Spouse i.e. Mrs. Sudesh Gupta.

*Allotment of equity shares on preferential basis to promoter & promoter group pursuant to conversion of outstanding unsecured loan.

**Mr. Mahabir Prasad Gupta resigned from the office of Chief Financial Officer of the Company w.e.f. close of business hours on 14/11/2019 & **Mr. Praveen Datt Agarwal was appointed as Chief Financial Officer of the Company w.e.f. 15/11/2019.

Vi. INDEBTEDNESS: (Indebtedness of the company including interest outstanding/accrued but not due for payment)

(Rs. in Lakhs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
(i) Principal Amount	7,942.43	4,623.76	-	12,566.18
(ii) Interest due but not paid	-	-	-	-
(iii) Interest accrued but not due	50.71	-	-	50.71
Total [(i)+(ii)+(iii)]	7,993.14	4,623.76	-	12,616.89
Change in Indebtedness during the financial year				
Addition	5,730.89	31,158.16	-	36,889.05
Reduction	1,377.87	29,254.71	-	30,632.58
Net Change	4,353.02	1,903.45	-	6,256.47
Indebtedness at the end of the financial year				
(i) Principal Amount	12,295.44	6,527.21	-	18,822.65
(ii) Interest due but not paid	-	-	-	-
(iii) Interest accrued but not due	72.72	-	-	72.72
Total [(i)+(ii)+(iii)]	12,368.16	6,527.21	-	18,895.37

VII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL (Rs. in Lakhs):**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

(Rs. in Lakhs)

S.N	Particulars of Remuneration	Name of MD/WTD/Manager					Total Amount
		*Mr. Bhawa Nand Choudhary	Mr. Anurag Gupta	Mr. Vishal Gupta	Mr. Vikas Gupta	*Mr. Promod Gupta	
1	Gross salary						
	(a) Salary as per provisions contained in section 17(1) & 17(3) of the Income-tax Act, 1961	8.31	91.37	109.48	109.48	62.13	380.77
	(b) Value of perquisites under section 17(2) Income-tax Act, 1961	0	0	0	0	0	0
2	Stock option	0	0	0	0	0	0
3	Sweat Equity	0	0	0	0	0	0
4	Commission	0	0	0	0	0	0
5	Other, please specify	0	0	0	0	0	0
	Total	8.31	91.37	109.48	109.48	62.13	380.77
	Ceiling as per the Act	120.00*	180.00#	180.00#	180.00#	180.00#	

*As per schedule V of the Companies Act 2013.

#Pursuant to shareholders approval dated 17/03/2019 under schedule V of the Companies Act 2013 by way of special resolution through EGM (Postal Ballot) and as per, the ceiling limit of remuneration was approved as Rs. 180 lakh per annum.

B. REMUNERATION TO OTHER DIRECTORS:

(Rs. in Lakhs)

S.N	Particulars of Remuneration	Name of Directors					Total
		Mr. Sharad Jain	Mr. Devendra Jha	Mr. Pramod Chimmanlal Gupta	Mr. Ayodhya Prasad Anand	Dr. Rita Mohanty	
1.	Independent Directors						
	• Fee for attending Board/committee meetings	2.00	2.00	1.50	0.70	1.60	7.80
	• Commission	0	0	0	0	0	0
	• Others	0	0	0	0	0	0
	Total (1)	2.00	2.00	1.50	0.70	1.60	7.80
2.	Other Non-Executive Directors	All Non-Executive Directors are Independent Directors & their remuneration is given in point 1 above.					
	Total B (1+2)	2.00	2.00	1.50	0.70	1.60	7.80
Total Managerial Remuneration							388.57
Overall Ceiling as per the Act		The Company has been paying remuneration as per provision of Schedule V of the Companies act, 2013, where yearly remuneration exceeding Rs. 120 lakh is permissible to any managerial personnel pursuant to approval of shareholders.					

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD:

(Rs. in Lakhs)

S.N.	Particulars of Remuneration	* Mr.Mahabir Prasad Gupta	# Mr.Praveen Datt Agarwal	Mr. Sanchay Dubey	Total
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1), (3) of the Income-tax Act, 1961 & other	9.36	13.80	4.35	27.51
	(b) Value of perquisites under section 17(2) Income-tax Act, 1961	0		0	0
2	Stock option	0		0	0
3	Sweat Equity	0		0	0
4	Commission	0		0	0
5	Other, please specify	0		0	0
	Total	9.36	13.80	4.35	27.51

*Mr. Mahabir Prasad Gupta resigned from the office of Chief Financial Officer of the Company w.e.f. close of business hours on 14/11/2019.

#Mr. Praveen Datt Agarwal was appointed as Chief Financial Officer of the Company w.e.f. 15/11/2019.

VIII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES DURING F.Y. 2019-20:

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding Fee, imposed	Authority (RD/NCLT/ Court)	Appeal made, if any, give details
A. Company					
Penalty	N.A.	-	-	-	-
Punishment	N.A.	-	-	-	-
Compounding	N.A.	-	-	-	-
B. Directors					
Penalty	N.A.	-	-	-	-
Punishment	N.A.	-	-	-	-
Compounding	N.A.	-	-	-	-
C. Other Officers in Default					
Penalty	N.A.	-	-	-	-
Punishment	N.A.	-	-	-	-
Compounding	N.A.	-	-	-	-